



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kenji HANABUSA, et al.  
SERIAL NO: 10/777,179  
FILED: February 13, 2004  
FOR: BASIC AMINO ACID DERIVATIVES

GAU:

EXAMINER:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- The applicant(s) wish to make of record the references, some of which are cited in the attached International Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

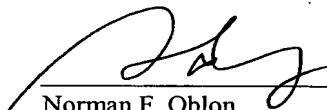
- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Norman F. Oblon

Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Roland E. Martin  
Registration No. 48,082



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF:

Kenji HANABUSA, et al.

SERIAL NO: 10/777,179

GROUP:

FILED: February 13, 2004

EXAMINER:

FOR: BASIC AMINO ACID DERIVATIVES

**STATEMENT OF RELEVANCY**

**References AJ through AV on Form PTO-1449 are discussed in the specification.**



Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENTS AND TRADEMARK OFFICE		ATTY DOCKET NO. 248687US0	SERIAL NO. 10/777,179		
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Kenji HANABUSA, et al.					
		FILING DATE February 13, 2004		GROUP			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
		AG WO 00/53576	09/14/2000	WIPO (with English Abstract)	YES	NO	X
		AH 2000-344620	12/12/2000	JAPAN (with English Abstract)			X
		AI 52-66885	06/02/1977	JAPAN (with English Abstract)			X
		AJ 55-75493	06/06/1980	JAPAN (with English Abstract)			X
		AK 59-52196	12/18/1984	JAPAN (with English Abstract)			X
		AL 60-44968	10/07/1985	JAPAN (with English Abstract)			X
		AM 59-77859	05/04/1984	JAPAN (with English Claim)			X
		AN 54-33798	10/23/1979	JAPAN (with English Abstract)			X
		AO 10-273477	10/13/1998	JAPAN (with English Abstract)			X
		AP 10-237034	09/08/1998	JAPAN (with English Abstract)			X
		AQ 10-245396	09/14/1998	JAPAN (with English Abstract)			X
		AR 10-226614	08/25/1998	JAPAN (with English Abstract)			X
		AS 8-231942	09/10/1996	JAPAN (with English Abstract)			X
		AT 7-247474	09/26/1995	JAPAN (with English Abstract)			X
		AU 7-247473	09/26/1995	JAPAN (with English Abstract)			X
		AV 2000-256303	09/19/2000	JAPAN (with English Abstract)			X
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							